

1 **BEFORE THE ARIZONA MEDICAL BOARD**

2 In the Matter of

3 **PAUL SAIZ, M.D.**

4 Holder of License No **25767**
5 For the Practice of Allopathic Medicine
6 In the State of Arizona.

Board Case No. MD-05-0514A

**FINDINGS OF FACT,
CONCLUSIONS OF LAW AND ORDER**

(Letter of Reprimand)

7 The Arizona Medical Board ("Board") considered this matter at its public meeting on June
8 7, 2006. Paul Saiz, M.D., ("Respondent") appeared before the Board with legal counsel Stephen
9 Myers, for a formal interview pursuant to the authority vested in the Board by A.R.S. § 32-
10 1451(H). The Board voted to issue the following Findings of Fact, Conclusions of Law and Order
11 after due consideration of the facts and law applicable to this matter.

12 **FINDINGS OF FACT**

- 13 1. The Board is the duly constituted authority for the regulation and control of the
14 practice of allopathic medicine in the State of Arizona.
- 15 2. Respondent is the holder of License No. 25767 for the practice of allopathic
16 medicine in the State of Arizona.
- 17 3. The Board initiated case number MD-05-0514A after being notified of a medical
18 malpractice settlement involving Respondent's care and treatment of a fifty-three year-old female
19 patient ("LY"). LY had persistent back pain and a history of anterior spine fusions in 1997. She
20 presented to a physician on September 4, 2001 and, after reviewing LY's symptoms, performing
21 a physical examination and an MRI, this physician recommended a decompression of L3-L4 and
22 posterolateral fusions of L3-L4, L4-L5, and L5-S1. A lumbar spine X-ray performed this same day
23 was reported by another physician as demonstrating anterior interbody fusions at L4-L5 and L5-
24 S1 with degenerative changes at L3-L4. On October 15, 2002 this same physician reported an
25

1 MRI as demonstrating interbody fusions of L4-L5 & L5-S1 with a distal disc presumably S1-S2.

2 He also noted a disc herniation at L3-L4 with a bony bar formation at L3-L4 and L2-L3 on the left.

3 4. Respondent initially evaluated LY on October 21, 2002. LY was five feet five
4 inches tall and weighed 210 pounds. LY complained of persistent back pain and some leg pain.
5 LY gave a history of having a prior anterior spinal fusion in 1997 at L4-L5 and L5-S1 with
6 persistent symptoms. Respondent's consultation notes indicate review of the MRI and comments
7 on LY's stenosis and retrolisthesis of L3-L4. Respondent obtained X-rays that day and on review
8 noted LY's degenerative scoliosis at L3-L4 with eccentric disc wear and no obvious instability of
9 cages at L4-L5 and L5-S1. Respondent recommended a decompression of L3-L4 with fusion L3-
10 S1 posterolaterally with pedicle screw fixation to augment the anterior fusions.

11 5. LY next saw Respondent on November 19, 2002. Respondent reviewed his
12 surgical plan and the risks and complications of surgery with LY and noted he reviewed LY's X-
13 rays and MRI scan with her and her husband. Respondent performed the surgery on November
14 21, 2002. The dictated operative report documents a laminotomy and foraminotomy of L3-L4 with
15 fusions of L3-L4, L4-L5 and L5-S1 with pedicle screw instrumentation and bone graft.
16 Respondent noted he confirmed his level at L4 with intraoperative radiograph and used the C-arm
17 fluoroscopy on multiple occasions to confirm the position of the pedicle screws. Respondent's
18 handwritten postoperative note at 14:15 on November 21 documents his surgical procedure of a
19 laminotomy and foraminotomy of L3-L4 and fusion from L3-S1.

20 6. In a second postoperative note on November 21, 2002 at 20:00 Respondent noted
21 he reviewed the post-surgery X-ray and that the previously numbered L3-L4 retrolisthesis was not
22 instrumented or fused and the anterior cages were at L3-L4 and L4-L5. Respondent also noted
23 on review of the MRI and X-rays he noticed a numbering error of the vertebra on the MRI scan.
24 Respondent discussed this with LY and her family and recommended a second surgery in a few
25 days to fuse L2-L3. LY's postoperative course in the hospital was troubled by nausea and she

1 elected to delay her surgery. Respondent continued to monitor LY in his office post-surgery and
2 on November 29, 2002 the incision was doing well and he advised LY and her husband the
3 surgery was eighty percent complete. Respondent cautioned LY the fusion below the L2-L3 level
4 could be a stress riser and increase symptoms of her degenerative disc and retrolisthesis.
5 Respondent continued to monitor LY and, because of increasing back and leg symptoms, LY was
6 returned to surgery on January 15, 2003 for a laminotomy and foraminotomy of L2-L3 and fusion
7 with re-instrumentation from L2-S1. LY's wounds healed and her fusion progressed and she was
8 walking three miles per day as of June 15, 2003, but remained symptomatic with back pain
9 requiring Oxy-Contin.

10 7. In his written response to the Board Respondent notes he obtained X-rays on
11 initial consultation and quotes his initial consultation finding of "no instability from her cages at L4-
12 L5 and L5-S1." Respondent also noted he used the C-arm intraoperatively and noted the
13 previous numbering system was in error and decided to continue with the surgery as described in
14 the consent. Respondent asked that the complaint be dismissed because he discovered a
15 numbering system error intraoperatively and only fused the levels for which he had a signed
16 consent.

17 8. Respondent testified when he first saw LY her main complaint was lumbosacral
18 pain and posterior hamstring discomfort. Respondent noted he obtained X-rays that confirmed
19 L4-5, L5-S1 prior anterior cages and he reviewed the MRI. Respondent identified two main pain
20 generators – the prior fusion at L4-5, L5-S1 that never improved after surgery, and a
21 degenerative change at the level above the fusion at L3-4 with a retrolisthesis and lateral recess
22 stenosis. Based on these two pain generators Respondent decided to do posterior spinal fusion
23 from L3 to S1. Respondent noted intraoperatively where there should have been a sacralia, or
24 where the transverse processes came together, he noticed the transverse process at S1.
25 Respondent manipulated what he thought to be S1-S2 and there was motion so he went ahead

1 and played with the C-arm and made it parallel to the end plate and the disc, which appeared to
2 be a fairly small disc on MRI, but still bigger than normal, more lumbarized. Because he could
3 elicit motion in that area Respondent diagnosed a transitional segment and then had three pain
4 generators. Respondent noted because of the signed consent, and the fact that LY never got
5 better, the transitional segment, or new pain generator, made more sense to be the source of her
6 discomfort. Respondent acted within the confines of the consent and the new information and
7 stuck with L3 through S1 posterior spinal fusion and made a small laminotomy at L3-4 to see if he
8 could put his probe up into L2-3, the level above, to see if there was any stenosis. Respondent
9 testified that after doing this, LY's pain got better and, although he initially thought about going
10 back in to adjust the fusion to L2-3, her pain got better and she was discharged.

11 9. Respondent testified his operative report was dictated on the new numbering
12 system. Respondent noted at the time he was dictating he had a big case – a three level neck –
13 that he was in a rush for so he dictated without opinion and his plan was to go ahead and review
14 everything afterward and make sure the numbering system he said was correct and then discuss
15 it with LY's family and document everything. Respondent noted he handwrites his operative
16 reports ahead of time and leaves the EBL, fluids and procedure open so he can come back and
17 quickly fill them in. Respondent testified his pre-op and post-op diagnosis on his handwritten note
18 was written preoperatively and he did not change his post-op diagnosis and this was the one
19 error on this note. Respondent testified his second error is that on his dictated note he did not
20 change the postoperative diagnosis because his post-op template is the same.

21 10. Respondent addressed the allegation that he did not address the level that had
22 been talked about as being symptomatic, namely the L2-3 on the new numbering system – a
23 retrolisthesis. Respondent testified intraoperatively he identified three pain generators and on a
24 neurosurgeon report it looks to be more L4 nerve root. Respondent noted L2-3 at best would
25 involve L3, potentially L2, nerve root. Respondent testified it just did not make sense, so he

1 decided to address the most common pain generators within the confines of the consent and not
2 do L2-3. Respondent addressed the second allegation of LY needing a second surgery.
3 Respondent testified if he had performed the surgery as he had planned preoperatively he would
4 have performed an L2 to L5 posterior spinal fusion and not addressed the transitional segment,
5 which in his mind made more sense. Respondent testified regarding the allegation of a wrong site
6 surgery and noted he believed he identified three pain generators and operated on two of the
7 three and there was no erroneous anatomy disturbed.

8 11. The Board asked Respondent to describe his training. Respondent testified he did
9 a residency in orthopedics and had minimal exposure to spine surgeries during the residency.
10 Respondent then did a fellowship in spine surgery for one year involving pure spine-related
11 training, deformity based such as scoliosis, adult scoliosis, etc., and it was one year of nothing
12 but surgery. Respondent testified his practice since 2004 involves only the spine and
13 musculoskeletal oncology of the spine. The Board noted at the time of LY's surgery Respondent
14 also did general orthopedics. The Board asked what previous records for LY Respondent had
15 when he evaluated her. Respondent testified he had the previous evaluation by the referring
16 neurosurgeon, her prior MRIs dating back as far as 2001, but he did not have the prior operative
17 report. The Board asked if it would have been prudent for Respondent to get LY's previous
18 operative notes to see what was really done on her before he tried to re-do or re-correct what was
19 done. Respondent testified it was necessary in cases of hardware removal and in LY's case she
20 previously had an anterior procedure with subsequent complications of an ileus and in looking at
21 her pathology on initial evaluation it was continued back pain after prior anterior stand-alone
22 cages at L4-5, L5-S1 (the old numbering system) and retrolisthesis at L3-4 all of which could be
23 addressed from the back. Respondent noted the previous operative report as far as what type of
24 instrumentation was used did not seem important to him.

1 12. The Board noted it was not just concerned about instrumentation, but the
2 indications for the earlier surgery from the other physician. Respondent testified LY had multiple
3 surgeries including multi-level cervical fusions and low back that she attributed to an earthquake
4 in the mid 1980s. Respondent testified he did not think at that time there was valid pathology
5 noted on his evaluation that the surgeon's summary from 1997 would change his decision making
6 in any way. The Board asked Respondent his clinical finding that made him plan on the posterior
7 lateral fusion. Respondent testified anytime there has been a prior fusion and the patient states
8 the symptoms are the same you are always worried the correct pain generator was not
9 addressed or the patient did not fuse. Respondent testified there was no sentinel sign on the X-
10 rays indicating bone healing within the discs at L4-5 and L5-S1 so he did not see any definitive
11 evidence LY had fused. Respondent noted his question at the time was whether LY had a stable
12 non-union, adjacent segment disease at old numbering system L3-4 with a retrolisthesis that was
13 stable in flexion-extension films.

14 13. The Board asked if the retrolisthesis was present prior to LY's previous surgery.
15 Respondent testified he did not know if it was present ahead of time, but he had to assume, since
16 it was not addressed anteriorly, that it was not present. Respondent also noted if it was there, it
17 was stable, and was a potential pain generator when he initially saw LY. The Board asked if
18 Respondent thought it was a potential pain generator the previous surgeon would have gone to
19 one level higher. Respondent testified he would have imagined so. The Board asked then if it was
20 necessary to get the records to find out if it was there, retrolisthesis. Respondent testified he did
21 not think whether it was there then or now it would have changed his operative plan because LY
22 was still continuing to have symptoms after the surgery and it was still a potential pain source.
23 The Board noted if retrolisthesis was present LY probably would not have gotten better with the
24 anterior cage fusion at that level. Respondent testified that was true if all the pain was coming
25 from that pain generator, but he has a hard time believing that.

1 14. Respondent testified it was not uncommon after a stand-alone fusion at two levels
2 to see degenerative changes and as far as his decision making, he was going to make his
3 decision based on LY's complaints. Respondent noted the MRI and other investigations he did
4 also showed there was lateral recess stenosis at the area with stable listhesis and his plan was to
5 try to fuse the level of all the previous caged fusions. The Board confirmed what the operating
6 room permit said; the planned procedure plus any other needed procedures. The Board noted
7 Respondent mentioned in his opening remarks that when he went in he took some X-rays and
8 tried to position the C-arm properly and was able to easily recognize the level of the
9 instrumentation anteriorly. The Board asked when Respondent recognized it was from L3-4, L4-5,
10 or L3-L4-S1 what did he think it was. Respondent testified when he identified the transitional
11 segment he immediately thought to himself "is this a transitional S1-2 or is this an L5-S1" and
12 then he repositioned the C-arm. Respondent noted first there was a bigger disc then he
13 anticipated, which on stand-up films may not be directed parallel to the end plates, and second,
14 when he swung around to an AP and counted from the last rib and the L5-S1 numbering for the
15 transitional segment fit better than an S1-2.

16 15. The Board asked if Respondent's plan was to fuse LY's disc above the previous
17 fusion. Respondent testified it was one of the previous two generators he was going to address.
18 The Board corrected Respondent and noted his plan was to fuse the level above. Respondent
19 noted this was one level. The Board confirmed Respondent's plan was to fuse one level above
20 the previous fusion. The Board then asked why, when he saw in the C-arm that the level was L3,
21 or whatever Respondent thought it was, did he go proximal to the level he had planned on for
22 fusion, posterolaterally. Respondent testified his thinking at the time was he had three potential
23 pain generators and the two that made the most sense within the confines of the consent was
24 that of the prior BAK cage levels, the new system, 3-4, 4-5 as well as the transitional segment at
25 L5-1, the location LY pointed to as painful. Respondent testified his thinking at the time was that

1 he could not address all three pain generators, but he could address the two most likely and he
2 thought he could get away with doing less surgery rather than more surgery by addressing the
3 L2-3 level, which was not consented.

4 16. The Board noted LY had consented for the level above the one that had
5 retrolisthesis, for a laminotomy and fusion of that level. Respondent testified this was correct in
6 the purest sense, LY had consented for the level above. The Board noted this was exactly
7 correct and asked why then did Respondent think he did not have consent for that level,
8 particularly since he thought the symptoms were coming from the level above fusion where she
9 had retrolisthesis and he had discussed with her and her husband that he was going to fuse the
10 level above the previous fusion and why he did not do the procedure as he planned. Respondent
11 testified he did not attribute all LY's symptoms to the L3-4 level, the level above her fusion cages.
12 The Board noted maybe Respondent did not believe all of LY's symptoms were coming from that
13 level, but some symptoms were there and he had planned to fuse those levels. Respondent
14 testified he had potentially planned to do that, but with the new findings intraoperatively, the
15 transitional segment made much more sense as being a pain generator than the new system L2-
16 level. The Board confirmed with Respondent the MRI and the scan documented there was
17 prosthesis proximate to the previous fusion. Respondent testified retrolisthesis can be a stable
18 finding. Respondent noted LY had some L4 symptoms when she was visited by the
19 neurosurgeon because she had posterior hamstring pain, the L2-3 level above her prior fusion did
20 not fit. Accordingly, rather than going outside of and above his consent Respondent felt more
21 comfortable just addressing the prior fused level and transitional segment.

22 17. The Board asked if Respondent was worried about recessed laterally evolving
23 fusion with spinal stenosis. Respondent testified he was, but intraoperatively he made
24 laminotomy to L3-4 and stuck a probe up into L2-3 and he did not feel any significant stenosis.
25 The Board asked if it was the right procedure to do, just to explore, or did he have to see better.

1 Respondent testified based on his new intraoperative finding and the consent he felt comfortable
2 with sticking a pedicle probe up there and making sure there was no stenosis and thinking he
3 could perform a smaller procedure versus a larger procedure. The Board asked if after
4 Respondent finished the surgery he documented he fused the level above. Respondent testified
5 he did not and said he fused from L3 to S1. The Board asked if Respondent was suspecting a L3-
6 L4 fusion. Respondent testified he maybe changed the numbering system intraoperatively so the
7 dictation is what he performed – an L3 through S1 posterior spinal fusion, new numbering
8 system.

9 18. The Board noted Respondent made notation prior to the surgery in his
10 postoperative note as to the level he was going to fuse. Respondent disagreed and noted his
11 earlier testimony that he wrote his preoperative diagnosis and his postoperative diagnosis,
12 surgeon's name, and planned disposition after surgery ahead of time and he filled everything in
13 after surgery and it is timed 2:15, therefore the procedure documented in his handwritten note is
14 exactly what he did – an L3 to S1 posterior spinal fusion. Respondent testified he did not write he
15 did foraminotomies and the preoperative plan was to do laminectomies at L3-4 and he did a
16 smaller procedure to check up into the retrolisthesed segment. The Board asked if the immediate
17 postoperative note and the operative note are different. Respondent testified they were not. The
18 Board noted the one written six hours later was different because Respondent had recognized he
19 had not fused the right level. Respondent testified when he spoke with LY's family he had another
20 case to follow and when he finished that case he obtained a postoperative film because he did
21 not save anything intraoperatively and he went and looked at all of his records, felt comfortable
22 with the numbering system, and then went to talk to the family and documented the findings at
23 that time. The Board asked then if Respondent recognized with the X-ray that he had not fused
24 the level above. Respondent disagreed and testified he recognized intraoperatively he did not
25 address all of the potential pain generators and had not addressed the level originally planned,

1 but it was not until after his second case that he had time to talk to the family and, before he
2 talked to them, he made sure he did not give them erroneous information by going back and
3 looking at all the MRIs in his office and the postoperative film.

4 19. The Board asked Respondent his protocols – when does he look at the MRIs, the
5 night before the procedure or just before the procedure. Respondent testified he looks at the
6 MRIs on initial evaluation if the patient brings them, he looks at them at the preoperative visit and
7 hand carries all his films to the operating room and puts everything up. Respondent noted he
8 typically brings the most recent films and most recent MRI. The Board noted it still did not
9 understand why Respondent did not fuse the level above when he planned to fuse the level
10 above. The Board asked Respondent for help in understanding his thought process to not fuse
11 the level above and noted it did not believe inserting a probe into the level above was enough to
12 say there is no stenosis. Respondent testified he was not denying there was stenosis or
13 retrolisthesis at that area, but in light of the new operative information, the transitional segment
14 made much more sense with LY's history and he thought he could do a smaller procedure.
15 Respondent noted at the same time, he had consent for an L3 to S1 posterior spinal fusion and if
16 he involved at the other level he would have fused L2 to S1 and he felt it was conservative not to
17 do the extra level. The Board asked what Respondent thought went wrong with LY's case.
18 Respondent testified looking back he can say he did not foresee having a transitional segment
19 and being a little more medically savvy he realizes he could have addressed L2 to S1, but he
20 thought he was being conservative by doing what made the most sense and doing less.
21 Respondent testified he thought he addressed the most logical pain generators and, in retrospect,
22 does not necessarily think he would change what he did, other than perhaps doing a better job in
23 medical record keeping and check what his postoperative diagnosis is. Respondent testified he
24 thinks he went about in a logical fashion and made an intraoperative decision to address where
25 the pain appeared to be coming from and it turned out LY's pain initially got better, but she hurt

1 herself six weeks later and he ended up addressing the L2-3 area. Respondent noted he did not
2 think he could have done everything in one surgery and gone outside the consent.

3 20. The Board noted it was not convinced from the radiographs there was a
4 transitional vertebrae. The Board confirmed Respondent felt LY's symptoms above the level of
5 fusion were not causing her major problem (for the sake of discussion the Board called that level
6 L2-3) and that Respondent felt he could not, with the consent he had, do that level. Respondent
7 testified that at LY's preoperative presentation she had lumbosacral pain over her prior fused
8 levels and over the transitional segment and posterior hamstring pain. Respondent noted one of
9 the neurosurgery notes had a decreased patellar reflex, that he did not elicit, and a weakness of
10 dorsiflexion that corresponded to the L4 nerve root. Respondent noted when you readjust the
11 numbering system, L2-3 is not involved in the L4 nerve root. The Board noted the main
12 contention is why Respondent did not do the level above the fusion. The Board confirmed
13 Respondent did not because his opinion at the time was that it was not the main cause of LY's
14 symptoms because her nerve levels were lower than where he thought that was and he found a
15 transition vertebrae at surgery he felt could be the source of pain because her pain seemed to be
16 at that level.

17 21. The Board noted LY required a subsequent surgery and asked what Respondent
18 found in that second surgery, if the nerve was really compressed. Respondent testified the
19 amount of stenosis was minimal. The Board asked if Respondent knew what happened to LY
20 subsequently. Respondent testified he had some problems with LY's compliance with physical
21 therapy, but the last physical therapy note had LY walking two miles a day and still requiring
22 some narcotics.

23 22. The Board asked Respondent to state simply his indications for surgery, what was
24 wrong with LY and what he was going to do for her. Respondent testified LY had low back pain
25 associated with activity and posterior hamstring discomfort. Respondent noted this was not

1 uncommon in persons who have had prior surgery. Respondent testified the immediate thing that
2 went through his mind was the 1997 surgery did not accomplish its goal – there was potential
3 non-union at the cage level 4-5, S-1, which is not uncommon with stand-alone BAKS and the
4 degenerated segment above that at what he thought was L3-4. The Board confirmed with
5 Respondent that LY never got better after the 1997 surgery and asked if it occurred to him that
6 maybe she did not need the 1997 surgery and that was why she did not get better. Respondent
7 agreed this was possible. The Board noted Respondent's diagnosis was stenosis, bilateral
8 foraminal and central at L3-4, foraminal stenosis at L2-3, and congenitally short pedicles that he
9 was going to make better by surgery even though it was not better after the 1997 surgery. The
10 Board asked how Respondent was going to make LY better on the basis of his diagnosis.
11 Respondent testified the chances of a patient getting better after a previous back surgery go
12 down significantly and his goal was to stabilize LY's prior fused area and address the adjacent
13 segment above that. Respondent testified he was hoping to get at least a fifty percent pain
14 decrease and he typically hopes for increased functionality.

15 23. Respondent agreed that surgeons may never know if they can make a patient
16 better, but there has to be really good findings before surgery is offered because without good
17 findings that do not correlate surgery can be awful. The Board directed Respondent to his notes
18 under "Imaging Studies," specifically, "the MRI scan shows evidence of degenerative disc disease
19 above her prior fusion along with retrolisthesis. The axial T2 cut does show evidence of some
20 stenosis occurring in L3-4 on the left greater than right" and noted that was not a very exciting
21 finding. Respondent agreed. The Board then directed Respondent to his "Assessment and Plan"
22 where he said "we had a long discussion today, over an hour, concerning options. She would like
23 something done sooner rather than later, specifically for her back pain. Unfortunately, after MRIs I
24 have not seen a significant amount of stenosis that could cause this. However decompression is
25 definitely one of her priorities. To that end, the plan of surgery will be a posterolateral fusion at

1 L3-4 along with a laminectomy and bilateral foraminotomies at L3-4 and possibly L2-3." The
2 Board asked if Respondent was fixated, no matter what the levels are with the upper lumbar
3 spine rather than the lower lumbar spine. Respondent agreed.

4 24. The Board confirmed another neurosurgeon was concerned about L4 and
5 Respondent had these notes, but was more concerned about what was happening above the
6 juxtaposition level where there is a listhesis above the fusion. The Board also confirmed that the
7 numbering system Respondent was looking at before surgery had the cages at L4-5 and L5-S1,
8 and if it was at L4-5 and L5-1 it was above the junctional area where there was the subluxation
9 and 3 was moved forward on 4 posteriorly. The Board confirmed Respondent was interested in
10 L3-4. The Board noted the X-rays reflect the metal devices were at L4-5 and L5-S1 and,
11 therefore, the level where there is listhesis is the level above. The Board confirmed that, no
12 matter what the numbering system, the level of the implants gives direction in the operating room.
13 Respondent noted after being in the operating room he chose to number the levels differently.
14 The Board noted Respondent had the advantage of having a metallic implant in the interspace at
15 two levels and he knew he was concerned about the upper lumbar disc, therefore, all he had to
16 do was go to the level above the metal cage for at least one of the pain generators, which is what
17 Respondent discussed with the family and what his history and physical revealed. The Board
18 noted it appeared Respondent got lost regarding the levels while in the operating room and
19 before he went to surgery he intended to fix the level just above the cages and fuse it.
20 Respondent testified this was one of the portions of the procedure. The Board noted Respondent
21 did not complete that portion. Respondent testified he chose intraoperatively based on
22 intraoperative information that he did not think that location, that potential pain generator, was the
23 source of LY's discomfort and that was his choice. Respondent testified he did not get lost and
24 had the cages to show him exactly where he was.

1 25. Respondent testified if he had this case again today he would not do anything
2 differently and any time he evaluates spine patients there are multiple potential pain generators
3 and his job is to identify the ones that are most symptomatic. Respondent noted in LY's case, in
4 light of the intraoperative information he made a choice about what he thought was most
5 symptomatic and did not address the other level. Respondent noted there were three potential
6 pain generators and he addressed two. Respondent testified he made the choice to stay within
7 the confines of the consent and get into less trouble than if he had fused a level not consented to.
8 Respondent noted experts he retained to review the records opined he fused L3 to S1 and these
9 were the levels consented to. The Board asked if Respondent had a consent to operate on a
10 herniated disc, but when he conducted the surgery he discovered the disc was not herniated,
11 would he just stick with the consent and remove the disc. Respondent testified he would not.

12 26. The Board's Medical Consultant noted the surgery was not addressed at the level
13 consented for and LY had to have a second surgery. The Board noted that, irrespective of the
14 numbering systems, the consent was to fuse the space above the previous anterior fusion and
15 Respondent did not fuse this space.

16 27. The standard of care required Respondent to proceed with the discussed and
17 consented procedure to address LY's problem.

18 28. Respondent deviated from the standard of care because he did not proceed with
19 the discussed and consented procedure and did not address LY's problem.

20 29. LY required a second surgery to decompress and fuse the level planned, but not
21 accomplished, and underwent further risks and complications of a second surgical procedure.

22 **CONCLUSIONS OF LAW**

23 1. The Arizona Medical Board possesses jurisdiction over the subject matter hereof
24 and over Respondent.

25

2. The Board has received substantial evidence supporting the Findings of Fact described above and said findings constitute unprofessional conduct or other grounds for the Board to take disciplinary action.

3. The conduct and circumstances described above constitutes unprofessional conduct pursuant to A.R.S. § 32-1401(27)(q) (“[a]ny conduct or practice that is or might be harmful or dangerous to the health of the patient or the public”).

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law,

IT IS HEREBY ORDERED:

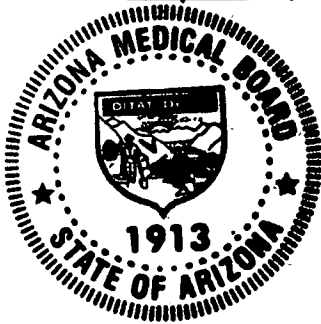
Respondent is issued a Letter of Reprimand for performing a surgery at a site not originally planned and that did not address the patient's problem requiring a second surgery.

RIGHT TO PETITION FOR REHEARING OR REVIEW

Respondent is hereby notified that he has the right to petition for a rehearing or review. The petition for rehearing or review must be filed with the Board's Executive Director within thirty (30) days after service of this Order. A.R.S. § 41-1092.09(B). The petition for rehearing or review must set forth legally sufficient reasons for granting a rehearing or review. A.A.C. R4-16-102. Service of this order is effective five (5) days after date of mailing. A.R.S. § 41-1092.09(C). If a petition for rehearing or review is not filed, the Board's Order becomes effective thirty-five (35) days after it is mailed to Respondent.

Respondent is further notified that the filing of a motion for rehearing or review is required to preserve any rights of appeal to the Superior Court.

1 DATED this 11th day of August, 2006.



THE ARIZONA MEDICAL BOARD

By Timothy C. Miller
TIMOTHY C. MILLER, J.D.
Executive Director

7 ORIGINAL of the foregoing filed this
8 11th day of August, 2006 with:

9 Arizona Medical Board
9545 East Doubletree Ranch Road
10 Scottsdale, Arizona 85258

11 Executed copy of the foregoing
12 mailed by U.S. Mail this
13 11th day of August, 2006, to:

14 Stephen Myers
15 Myers & Jenkins, P.C.
16 3003 North Central Avenue – Suite 1900
17 Phoenix, Arizona 85012-2910

18 Paul Saiz, M.D.
19 Address of Record
20
21
22
23
24
25

Jim McGraw

1
2
3
4
5
6
7
8
9
0
1
2
3
4
5
6
7
8
9
0
1
2
3
4
5

3

4

5
6

Case No. MD-05-0514A

ORDER DENYING REHEARING OR REVIEW

8
9
0
1
2

13

14

15
16
17


18

19
20
21

1 DATED this 12th day of December, 2006.



ARIZONA MEDICAL BOARD

By 
TIMOTHY C. MILLER, J.D.
Executive Director

7 ORIGINAL of the foregoing filed this
8 13th day of December, 2006 with:

9 The Arizona Medical Board
10 9545 East Doubletree Ranch Road
11 Scottsdale, Arizona 85258

12 Executed copy of the foregoing
13 mailed by U.S. Mail this 13th day
14 of December, 2006, to:

15 Stephen W. Myers
16 Myers & Jenkins PC
17 3003 N Central Ave Ste 1900
18 Phoenix AZ 85012-2910

19 Paul Saiz, M.D.
20 Address of Record

21
22
23
24
25

